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Athena E. Pretory

PATENT Customer No. 22,852 Attorney Docket No. 9140.0030-00

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re A	Application of:	
NARA	ASIMHAN, Mukundan et al.	Group Art Unit: 2826
Applic	eation No.: 10/789,953	Examiner: MANDALA, Victor A.
Filed:	February 26, 2004	
For:	DIELECTRIC BARRIER LAYER FILMS	Confirmation No.: 5786

### MAIL STOP RCE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

# SIXTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed before the mailing date of a first Office Action after the filing of a Request for Continued Examination in the above-referenced application.

Copies of the listed foreign patents and non-patent literature documents are attached.

Copies of the U.S. patents and patent publications are not enclosed.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

Appl. No. 10/789,953 Atty. Docket No. 9140.0030-00

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: August 3, 2006

Jeffrey E.D.

Reg. No. 57,228

# PS PTO/SB/08: Substitute for form 1449A/PTO STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known		
Application Number	10/789,953	
Filing Date	February 26, 2004	
First Named Inventor	NARASIMHAN, Mukundan	
Art Unit	2826	
Examiner Name	MANDALA, Victor A.	
Attorney Docket Number	9140.0030-00	

Examiner Cite Document Number Issue or Name of Patentee or Pages, Columns, Lines, When					
Initials*	No.1	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US 4,710,940	12/1/87	Sipes, Jr.	
		US 4,785,459	11/15/88	Baer	
		US 4,710,940	12/1/87	Sipes, Jr.	
		US 5,435,826	7/25/95	Sakakibara et al.	
		US 5,512,147	4/30/96	Bates et al.	
		US 5,569,520	10/29/96	Bates	
		US 5,597,660	1/28/97	Bates et al.	
		US 5,612,152	3/18/97	Bates	
		US 6,168,884 B1	1/2/01	Neudecker et al.	•
		US 6,236,793 B1	5/22/01	Lawrence et al.	
		US 6,242,132 B1	6/5/01	Neudecker et al.	
		US 6,365,300 B1	4/2/02	Ota et al.	
		US 6,760,520 B1	7/6/04	Medin et al.	
		US 6,818,356 B1	11/16/04	Bates	
		US 6,884,327 B2	4/26/05	Pan et al.	
-		US 2001/0031122 A1	10/18/01	Lackritz et al.	
		US 2003/0035906 A1	2/20/03	Memarian et al.	
		US 2003/0185266 A1	10/2/03	Henrichs	
		US 2005/0000794 A1	1/6/05	Demaray et al.	
		US 2005/0048802 A1	3/3/05	Zhang et al.	
		US 2005/0183946 A1	8/25/05	Pan et al.	
		US 2006/0134522 A1	6/22/06	Zhang et al.	

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

Examiner	Date	
Signature	Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Art Unit	2826
Examiner Name	MANDALA, Victor A.
'Attorney Docket Number	9140.0030-00

	FOREIGN PATENT DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		JP 7-224379 A	8/22/95	Ulvac Japan Ltd		Abstract
		WO 2006/063308 A2	6/15/06	Symmorphix, Inc.		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		COCORULLO, G. et al., "Amorphous silicon waveguides and light modulators for integrated photonics realized by low-temperature plasma-enhanced chemical-vapor deposition," <i>Optics Lett.</i> 21(24):2002-2004 (1996).	·
		KELLY, P.J. et al., "A novel technique for the deposition of aluminum-doped zinc oxide films," <i>Thin Solid Films</i> 426(1-2):111-116 (2003).	
		Response to Office Action filed July 27, 2006, in U.S. Appl. No. 10/291,179 (Atty. Docket No. 09140.0001-00).	
		Notice of Allowance mailed August 6, 2002, for US Patent No. 6,506,289 (Atty. Docket No. 09140.0002-01).	
		Notice of Allowance mailed November 10, 2003 for US Patent No. 6,827,826 (Atty. Docket No. 09140.0002-02).	
		Final Office Action mailed June 9, 2006 in U.S. Appl. No. 11/100,856 (Atty. Docket No. 09140.0015-01).	
	·	Office Action mailed March 22, 2006, in U.S. Appl. No. 10/101,863 (Atty. Docket No. 09140.0016-00).	
		Response to Office Action filed June 12, 2006, in U.S. Appl. No. 10/101,863 (Atty. Docket No. 09140.0016-00).	
		Office Action issued on March 9, 2006, in U.S. Appl. No. 10/954,182 (Atty. Docket No. 09140-0016-01000).	
		Response to Office Action filed on May 15, 2006, in U.S. Application No. 10/101,341 (Atty. Docket No. 09140-0017-00).	
		Office Action issued on March 23, 2006, in U.S. Application No. 10/650,461 (Atty. Docket No. 09140-0025-00).	
		Response to Office Action filed on July 24, 2006, in U.S. Application No. 10/650,461 (Atty. Docket No. 09140-0025-00).	

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Sheet	3	of

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Application Number	10/789,953	
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First Named Inventor	NARASIMHAN, Mukundan	
Art Unit	2826	
Examiner Name	MANDALA, Victor A.	
Attorney Docket Number	9140.0030-00	

NON PATENT LITERATURE DOCUMENTS			
	Office Action from Singapore Patent Office in Appl. No. 200505388-9, dated March 20, 2006 (Atty. Docket No. 9140.0030-00256).		
	Office Action mailed April 19, 2006 in U.S. Application No. 10/851,542 (Atty. Docket No. 09140.0033-00).		
*	Response to Office Action filed July 26, 2006 in U.S. Application No. 10/851,542 (Atty. Docket No. 09140.0033-00).		
	Specification as filed September 2, 2005, for U.S. Appl. No. 11/218,652 (Atty. Docket No. 09140.0052-00).		

Examiner	Date	
Signature	Considered	
- 6		

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